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TOWNSEND and TOWNSEND and CREW LLP

By: Shaffer

Attorney Docket No.: 18781-001110

Client Reference No.: T98-018

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jin-Long Chen, et al.

Application No.: 09/884,814

Filed: June 18, 2001

For: HUMAN UNCOUPLING

PROTEIN-2 (HCP2): COMPOSITIONS

AND METHODS OF USE

Examiner: Not yet assigned

Art Unit: 1653

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

Jin-Long Chen, et al.

Application No.: 09/884,814

Page 2

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 925-472-5000 Fax: 925-472-8895

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

1000 2000					
Complete if Known					
Application Number	09/884,814				
Filing Date	June 18, 2001				
First Named Inventor	Chen, Jin-Long, et. al.				
Art Unit	1653				
Examiner Name	Not yet assigned				
Attorney Docket Number	18781-001110				

			U.S. PATENT D	OCUMENTS	
		Document Number	Outside Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date . MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	US-4,109,496	08/29/1978	Allemann et al.	
	2	US-5,464,758	11/07/1995	Gossen et al.	
	3	US-5,665,543	09/09/1997	Foulkes et al.	
	4	US-5,702,902	12/30/1997	Tartaglia	
	5	US-5,741,666	04/21/1998	Tartaglia	
	6	US-5,849,514	12/15/1998	Amaral et al.	
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	8	US-6,025,469	02/15/2000	Zhang et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Foreign Patent Document		·	Name of Patentee or	Pages, Columns, Lines,			
	Country Code ³	Number ⁴	Code ⁶ (<i>if known</i>)	Publication Date MM-DD-YYYY	ublication Date Applicant of Cited	Where Relevant Passages or Relevant Figures Appear	T ⁶	
	9 ;	PCT	WO 96/05861	A1	02/29/1996			

Examiner Signature	Date Considered	

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¹ **Applicant's** unique citation designation number **(optional)**. ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number

H CENTER 1 600/2900 Complete if Known **Application Number** 09/884,814 INFORMATION DISCLOSURE **Filing Date** June 18, 2001 STATEMENT BY APPLICANT **First Named Inventor** Chen, Jin-Long, et. al. 1653 Art Unit (use as many sheets as necessary) Not yet assigned **Examiner Name**

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	10 -	BATHGATE et al., Molecular Microbiology, 6(3):363-370 (1992)	
	11 .	BOSS et al., FEBS Letters, 408(1):39-42 (1997)	
	12	FLEURY et al., Nature Genetics, 15:269-272 (1997)	
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